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**Overall Optimization of Tidal Current Farm: a Case Study in
Chacao Channel, Chile**

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ABSTRACT

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In this work, a mathematical model for the overall optimization of a Tidal Current Farm (TCF) is presented. This model consists of Mixed Integer Programming (MIP) that solves a layout optimization problem and cable routing problem, using a single model for this purpose. Besides, the model estimates the economic profitability of the project through the calculation of Net present value (NPV). The NPV must be maximized, which involves minimizing investment costs and maximizing net cash flows, which are calculated by the number of devices to be occupied and the amount of net energy produced by the TCF, respectively. Both the amount of energy produced and the investment costs are components of the objective function to be optimized. To determine the efficiency of the proposed model, a case study of a TCF project located in the Chacao Canal, Chile, is solved. Selected scenarios are tested, consisting of TCF of different sizes. The results showed that the optimization model determines the best locations for turbines, routing cables to connect them, and sets the economic profitability of the project. Compared to previous work that used mathematical modeling to solve layout problems of this type, this method obtains results in better computational times and is efficient with different cases.

Keywords: Tidal current farm, Optimization, Cable routing problem, Layout optimization, mixed integer programming.

Contents

Abstract	i
List of Figures	iv
List of Tables	v
1 Chapter 1. Introduction and Objectives	1
1.1 Introduction	1
1.2 Objectives	1
2 Chapter 2. Problem Description	3
3 Chapter 3. Literature Review	6
3.1 Cable Routing Problem	6
3.2 Layout Optimization	8
3.3 Research Gap	10
4 Chapter 4. Methodology	11
4.1 Wake modeling in Tidal power farm	11
4.2 Tidal Farm economic evaluation model	12
5 Chapter 5. Case Study	19
5.1 Bathymetry and current speed	19
5.2 Test cases	20
6 Chapter 6. Computational Results	23
7 Chapter 7. Discussion	26
7.1 Parameter sensitivity	26
7.1.1 Sensitivity to the energy price	26

7.1.2	Sensitivity to opportunity cost	27
7.1.3	Sensitivity to current speed	28
7.1.4	Sensitivity to Limited Budget	28
7.2	Comparison with literature	31
7.3	Insights	32
8	Chapter 8. Conclusions	34
	References	35



List of Figures

4.1	The Jensen wake model	12
5.1	Chacao Channel: Location in Chile, Bathymetry and Speed current	20
5.2	Zones in the Chacao Channel and set of cases	21
6.1	Results of TCF layout and cable routing	24
7.1	Sensitivity analysis of energy price variation	26
7.2	Sensitivity analysis of opportunity cost	27
7.3	Sensitivity analysis of current speed variation	28
7.4	Sensitivity analysis of limited budget	29
7.5	Cases to increase the budget	30



List of Tables

3.1	Location and cable routing problem reported in the literature	7
4.1	Notation for optimization model.	15
5.1	Main technical and economic input data.	22
6.1	Main economic results of the proposed model	23



Chapter 1. Introduction and Objectives

1.1. Introduction

Due to the limited capacity of the current energy resource, based mainly on fossils fuels, unconventional renewable energies are the main alternatives for the change of energy source, for their condition of inexhaustible resource. Among the unconventional energy sources, the one that comes from the tides, also known as Tidal Current Energy, has been highlighted in the last time.

Tidal power plant is a facility that converts the kinetic energy of the sea current into electricity, through devices called tidal turbines. By moving the turbine propellers, the energy produced is transferred to alternators to convert it into electric energy. These plants are located in strategic locations, with ideal natural characteristics for their operation (energy potential, current speed, depth, among others). These plants represent a considerable economic cost, mainly due to the installation and connection of each of the turbines, which has a high impact on the initial capital investment.

This research presents a mathematical model that optimizes the layout of tidal current farm. The proposed methodology solves the location of turbines on the farm, as well as the connection path between the devices. The model determines, through economic indicators, whether or not the project has economic profitability according to a given life span. Also, this study develops a particular case of a tidal current farm and its installation in southern Chile.

1.2. Objectives

General objectives

To obtain a mathematical model that performs an overall assessment of a tidal turbine farm,

applying that model on the Chacao Channel.

Specific objectives

1. To review existing literature to find references and formulations applied to Tidal Turbine Farm Optimization.
2. To develop a mathematical programming model that determines the optimal cable layout and routing, in addition to the economic profitability of the tidal turbine farm.
3. To collect data and information from the Chacao Channel.
4. To apply the model to different scenarios and cases.

The remaining of this work is organized as follows. Chapter 2 shows an overview of the problem to be addressed in this study. In Chapter 3, a literature review is presented. Next, in Chapter 4, a description of the model used to solve the location and cable routing problem for TCF is shown. Case study with the parameters and data of the Chacao channel are presented in Chapter 5. The computational results are shown in Chapter 6. Chapter 7 presents the discussions of the results shown in the previous section. Finally, in Chapter 8, the main conclusions and future works are shown.

Chapter 2. Problem Description

Over the last years, the renewable energy industry has been growing in importance due to technology development to take advantage of these energies, the falling technology costs, and rising environmental concerns. Since the governments of the countries are interested in investing resources to change fossil fuels, the production of green energy has attention both industrial and academic. Among the renewable energy sources, Tidal current energy (TCE) is a renewable, clean and sustainable alternative as it does not produce greenhouse gas. TCE is considered to be one of the main resources for future electricity generation due to its energy potential; it is experiencing a high technological development, being the ocean energy with the highest rate of preparation and technological takeoff, according to the International Renewable Energy Agency. (IRENA (2014)). Currently, there are 8 tidal power stations in the world, 4 in Europe (France, Russia, United Kingdom, and the Netherlands), 3 in Asia (China and 2 plants located in South Korea) and 1 in Canada, with a joint production of 530 MW. Moreover, 10 tidal power plants will be built (5 in the UK, three in Russia and 2 in South Korea) to generate total energy of 2,000 MW (Neill et al. (2018)).

In Chile, it has been shown that the southern part of the country is one of the most appropriate sites in the world for electricity generation from marine resources, highlighting the research done by Hassan (2009). In this scenario, different research has been done to analyze the technical feasibility of energy production in the Chacao Channel, located in Los Lagos Region. Guerra et al. (2017) presented a field characterization study of the Chacao Channel. The results showed that the channel has an average energy density of 5 kWm^{-2} at a depth not exceeding 60 m, which means several areas are suitable for tidal current energy extraction. On the other hand, Villalón et al. (2019) determined that, by installing an 8.4 MW tidal power station, it could generate a total of 38.7 GWh per year, without exceeding the limits established by the Chilean electricity standard or exceeding 7% of the allowed voltage level.

In a tidal power plant, the most important device is the tidal power turbine. These machines harness the kinetic energy contained in the tide, to convert it into electricity with a transformer. The tidal turbine can be installed in a layout called tidal current farm (TCF), for large-scale power production. Evaluating a turbine farm project requires the consideration of two key economic factors: investment costs and revenues from selling the generated energy.

To reduce investment costs and increase revenue, turbines must be located in the array in such a way as to maximize the energy generated and that the cost of installation, civil and electrical infrastructure becomes not too high. This would be achieved by installing a large group of turbines very close to each other. However, a large number of tidal turbines within an array can reduce the overall efficiency of the tidal farm. So, it is necessary to consider the aerodynamic interaction among multiple turbines to avoid large losses due to interference. As in wind farm, the wake induced by a turbine is a zone of reduced velocity behind the device. Because of this phenomenon called wake effect, downstream turbines extract less energy from the flow than upstream ones, which has a negative impact on energy production. Therefore the analysis of the wake effect is an important factor to assess the feasibility of the project. Another key aspect of the development of marine energy projects is to determine the costs of subsea cables. As in offshore wind farm (OWF), the amount of cable, its installation and subsequent periodic maintenance represent a significant portion of the capital expenditure (CAPEX) made by project investors. Both Lumbreras and Ramos (2011) and Quinonez-Varela et al. (2007) have demonstrated that connection costs can be nearly 25% of the total investment cost. Making an optimal design of electrical wiring can mean a considerable reduction in costs for the project budget.

This work presents a methodology, based on operations research (OR), to address the overall optimization of a tidal power farm project. This methodology consists of a mixed integer programming (MIP) approach which optimizes the individual location of turbines within the array and solves the subsea cable routing problem for these devices. The proposed model not only considers the loss of power resulting from the effect of the wake but also takes into account

power losses from transmission lines. Also, the model considers the costs of acquiring and installing the necessary equipment. The methodology introduced in this paper evaluates the results throughout the whole life of the tidal farm according to the economic viability of the project, determined by the Net Present Value (NPV). This provides useful economic and financial information for investors willing to promote tidal power plants, allowing analysis of the economic viability of future such projects. This study presents a case of a tidal current farm and its installation in the Chacao Channel. Finally, it contributes to evaluating a project that helps energy independence mainly of the people of Isla de Chiloé, which is separated from the continent.



Chapter 3. Literature Review

This literature review considers both tidal current farm problems and offshore wind farm problems since the solution procedures are similar. This work focuses primarily on cable routing problems and layout optimization to address the present problem. Table 3.1 shows, as a summary, the works of the literature related to the themes mentioned above. Three key criteria were selected for the classification of literature. The first criterion considers whether or not the papers solved cable routing or layout optimization problems. For this criterion, only those studies where the authors proposed a MIP were included. The second criterion is whether or not heuristics (or metaheuristics) or exact algorithm solutions are used to solve the MIP showed. The third criterion identifies whether the authors worked on an offshore wind farm (OWF) problem or a tidal current farm (TCF). Finally, this review shows the objective function optimized.

3.1. Cable Routing Problem

Next, cable routing problems are presented and classified in Table 3.1. This problem arises when a set of devices are installed and connected through cables. The routing of cables must be determined to obtain the least design cost. The first optimization model to solve an OWF cable routing problem was proposed by Fagerfjäll (2010) in his master thesis. The model assumed that all the turbines were connected to a single substation. Based on this model, various extensions have been proposed adding aspects of Operations Research and some classic mathematical models, including approaches to the Traveling Salesman Problem (TSP). González-Longatt et al. (2012) used a multiple TSP (mTSP) approach to solve the OWF power grid design optimization problem. On the other hand, Srikakulapu and U (2017) presented a mTSP approach to get an optimized routing of OWF, using Ant colony algorithm (ACO). Klein and Haugland (2017) developed an optimization model based on a TSP with distance and obstacle constraints between turbines. This model optimizes a cable routing of an OWF considering the physical characteristics of the seafloor. OWF-related papers are included, because the wind farm problems are similar to tidal turbine farm problems, and solution methods are related as well. Finally, Vartdal

et al. (2018) used an mTSP approach to solve the cable routing problem for one or more hub collectors in a simulated tidal farm.

Tabla 3.1: Location and cable routing problem reported in the literature

Reference	Problem		Solving Method		Topic		Objective Function	Limitation
	Cable Routing	Layout	Heuristic	Exact Method	OWF	TCF		
Fagerfjäll (2010)	•	•	◦	•	•	◦	Max. power, Min. costs	Two-phase solution, limited problem size
Serrano González et al.(2010)	◦	•	•	◦	•	◦	Net Present Value	Limited number of turbines
Ituarte-Villarreal and Espiritu (2011)	◦	•	•	◦	•	◦	Minimize cost	No case study or losses by transmission
González-Longatt et al.(2012)	•	◦	•	•	•	◦	Maximize electric power	No real case study
Lumbreras and Ramos (2013)	◦	•	•	•	•	◦	Minimize cost	No wake effect, infeasibility for large-size problems
Funke et al. (2013)	◦	•	•	◦	◦	•	Minimize cost	No bathymetric effect or limited wake modelling
Turner et al. (2014)	◦	•	◦	•	•	◦	Minimize wind speed deficit	Limited problem size
Bauer and Lysgaard (2015)	•	◦	•	•	•	◦	Minimize cost	No power losses or transmission losses
Kuo et al. (2015)	◦	•	◦	•	•	◦	Maximize power	Infeasibility for large-size problems
Pillai et al.(2015)	•	◦	•	◦	•	◦	Minimize cost	Two-phases heuristic, limited problem size
Funke et al. (2016)	◦	•	•	◦	◦	•	Maximize profit	Two-phases heuristic
Culley et al.(2016)	•	•	•	◦	◦	•	Maximize profit	Two-phases heuristic
Rodrigues et al.(2016a)	◦	•	•	•	•	◦	Minimize cost	No real case study
Wetzik et al.(2016)	•	◦	•	•	◦	◦	Minimize cost	No real case study
Rodrigues et al.(2016b)	◦	•	•	•	◦	◦	Maximize power	No case study or power losses
Shim y Kim (2016)	•	◦	•	•	◦	◦	Minimize cost	Two-phases heuristic
Pillai et al. (2016)	•	◦	•	•	•	◦	Minimize cost	Limited number of turbines
Kramer et ak. (2016)	◦	•	•	•	◦	•	Maximize profit	Two-phases heuristic
Fischetti and Pisinger (2017)	•	◦	•	•	•	◦	Minimize cost	Limited problem size
Srikakulapu and U (2017)	•	◦	•	•	◦	◦	Minimize cost	No power losses or transmission losses
Klein and Haugland (2017)	•	◦	◦	•	•	◦	Minimize cost	No real case study
Amaral and Castro (2017)	◦	•	•	◦	•	◦	Maximize power	Limited problem size and restricted CPU time
Pillai et al.(2017)	•	◦	•	◦	•	◦	Minimize cost	No power losses or transmission losses
Gong et al.(2017)	•	◦	•	◦	•	◦	Maximize power	No case study or power losses
Dai et al. (2017)	◦	•	•	◦	◦	•	Minimize cost	No real case study
Fischetti and Pisinger (2018a)	•	◦	•	•	•	◦	Minimize cost	No power losses or transmission losses
Fischetti and Pisinger (2018b)	•	◦	•	•	•	◦	Minimize cost	Restricted CPU time
Vartdal et al. (2018)	•	◦	◦	•	◦	•	Minimize cost	Infeasibility for large-size problems
Zuo et al. (2018)	◦	•	•	◦	•	◦	Maximize power	No case study or losses by transmission
Wade et al. (2018)	•	◦	•	◦	•	◦	Minimize cost	Restricted CPU time
Fierro et al. (2020)	•	•	◦	•	◦	•	Net Present Value	Approaching wake effect equations

◦: not included, •: included

Various models based on Vehicle Routing Problem (VRP) approach have been proposed to solve the cable routing problem. Bauer and Lysgaard (2015) used a mathematical model of Open Vehicle Routing Problem (OVRP) to solve the cable routing at three OWF plants located off the coast of England. An extension of this approach was presented by Fischetti and Pisinger (2017) to avoid power losses, using a classic heuristic-based VRP approach. Also, Pillai et al. (2017)

used a classic VRP approach but based on a genetic algorithm to minimize routing costs. Gong et al. (2017) proposed a sweep algorithm-based VRP approach to obtain specific cable paths, depending on the different shapes and characteristics of electric cables. Later, Fischetti and Pisinger (2018a) solved a similar cable routing problem with a hybrid VRP model. Fischetti and Pisinger (2018b), in other work, addressed the same problem but taking account of the cable losses.

Some optimization models have added distance restrictions to the cable routing problem. Pillai et al. (2015) proposed a mixed integer linear programming model (MILP) with a Capacitated Minimum Spanning Tree (CMST) approach to determine the optimal cable routing of an OWF constrained by distances. In other work, Wedzik et al. (2016) used a MIP with a Minimum Spanning Tree approach to solve the cable routing of an OWF, considering the topology restrictions and size of the electrical cables. Recently, the use of more complex algorithms has increased to obtain optimal solutions, incorporating restriction characteristics of those algorithms to the optimizations models. Shin and Kim (2016) proposed applications of the Minimum Cover Tree (MCT) algorithm to improve a MIP with distance and substation location. On the other hand, Pillai et al. (2016) used heuristics of Genetic Algorithm (GA) to minimize routing costs, Finally, the use of particle algorithm was introduced by Wade et al. (2019) to reduce the overall electrical costs of an OWF grid.

3.2. Layout Optimization

The layout optimization problem is one of the most studied tasks for both OWF and TCF. The objective of this problem is to determine the best position array to locate the turbines. The *wind farm layout problem* was introduced by Fagerfjäll (2010) along with the cable routing model mentioned above. Lumbreras and Ramos (2013) presented a MIP that minimizes the cost of investment and considers the loss of power. Turner et al. (2014) developed a mathematical programming model for the wind farm layout problem, taking account of the wake effect modeling. Also, Kuo et al. (2015) extended that model with wake interactions based on energy balance.

On the other hand, a multi-objective economic and operational cost criteria to obtain the optimal layout for an OWF is presented by Rodrigues et al. (2016b), as well as the consideration of installation times of the electrical structures of the plants in another Work (Rodrigues et al. (2016a)). Finally, Zuo et al. (2018) solved a layout problem with the collector system in offshore wind farm and onshore substation.

Recently, the TCF's layout optimization problem works have incorporated software programs to generate configurations of layout, such as *OpenTidalFarm*. This is open-source software for simulating and optimizing tidal turbine farms. *OpenTidalFarm* solves an optimization model with constraints of shallow water, using input bathymetry data. The shallow water model locates turbines in zones with distinct levels of water friction, and the performance of the layout is evaluated in each iteration through the power extracted by the turbines. *OpenTidalFarm* uses a nonlinear solution procedure along with the application of algorithms. Some papers have used arrays generated by *OpenTidalFarm*, in addition to heuristic-based approaches to solve layout problems. Funke et al. (2013) formulated an optimization model constrained by partial differential equations describing the flow. The authors used a gradient-based optimization algorithm to determine the optimal configuration of tidal turbine farms. In other work, Funke et al. (2016) applied the same algorithm to solve the layout optimization for one or more hub collectors in a simulated tidal farm. On the other hand, Culley et al. (2016) presented a general framework for the design of tidal turbine farms, including a cost analysis that can be considered within the layout. For this, they used the genetic algorithm approach along with a gradient-based optimization algorithm. Finally, Kramer et al. (2016) introduced a close approach that optimizes a turbine density field, instead of positions of individual turbines, while Dai et al. (2017) proposed a bi-level programming to determine the sizing of tidal farm and the arrangement of tidal turbines, using a genetic algorithm.

The effect of wake, which considers the analysis of power losses resulting from interference between tidal turbines, has been incorporated into the problems of layout optimization through

different models, especially the Jensen wake model. Serrano González J et al. (2010) added a Jensen model approach to solved a turbine optimization problem. They used a wind farm integrated model to increase profit given investment in a wind farm. Later, Ituarte-Villarreal and Espiritu (2011) presented a wake and cost modeling with multiple wakes to find optimal turbine placement. Finally, Amaral and Castro (2017) presented a model based on the Jensen model incorporating electrical losses.

3.3. Research Gap

Despite the different contributions, none of the papers related to the cable routing problem solve a layout optimization problem unless a specific configuration is proposed for layout, which represents in a two-phase solution method (Fagerfjäll (2010)). All the layout optimization papers mentioned above do not address the cable routing problem, except for Culley et al. (2016). This work solves a layout problem through *OpenTidalFarm* and gradient-based optimization algorithm which has two solution phases, rather than using an optimization model. The mathematical model presented in this work proposes a more complete analysis than the previously mentioned literature, making a realistic economic evaluation of an energy project, considering layout optimization, cable routing, loss by wake effect and electrical transmission, solving a practical case study.

Chapter 4. Methodology

In this section, the proposed methodology used in this study to design a TCF is described. A wake model is first explained to define the parameters to be used in the Integer programming model. Finally, the model, parameters, and variables are introduced.

4.1. Wake modeling in Tidal power farm

Jensen (1983) presented one of the most popular models to describe wake behavior in both wind farms and tidal farms. This model is based on the distance behind the rotor and includes the effects of turbulence associated with the flow. It assumes a linear expansion of the wake. Although this model is old, it represents an analytic approach to characterize the velocity shape in the far wake of a turbine. Figure 4.1. illustrates a single wake expansion along a horizontal plane through a turbine. The tidal current flows with a speed U from the left and passes through the tidal turbine with diameter rotor D . The nearby tidal turbine is affected by the wake created. The radius of the wake r is proportional to the distance x according to relation:

$$r = D + 2\alpha x \quad (4.1)$$

where α is the wake decay constant, which is dependent on turbulence, both ambient and turbine induced. Therefore, tidal speed in the wake is described by the following equation:

$$1 - \frac{v}{U} = \frac{1 - \sqrt{1 - C_T}}{(1 + 2\alpha \frac{x}{D})^2} \quad (4.2)$$

where C_T is the thrust coefficient.

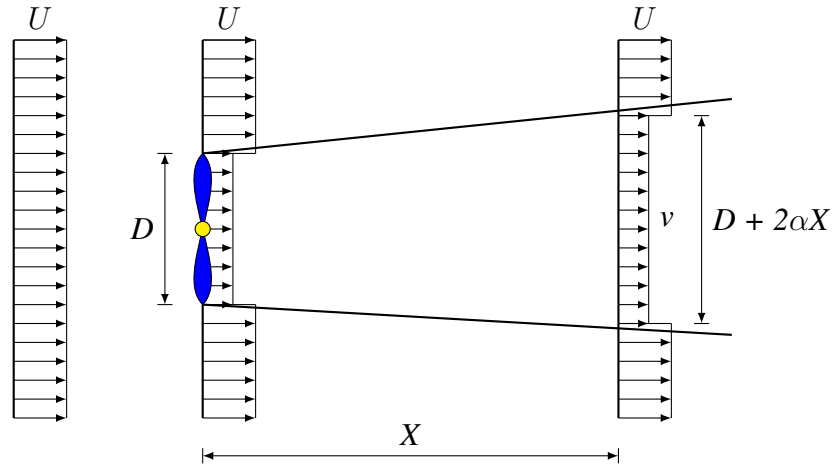


Figura 4.1: The Jensen wake model

Multiple wake effects occur when a turbine is affected by more than one turbine's wake. In this case, the principle of the superposition of tidal speed is used. Thus, the tidal speed in the wake for multiple effects is described in the form:

$$v = \left(1 - \sqrt{\sum_{i=1}^N \left(\frac{1 - \sqrt{1 - C_T}}{(1 + 2\alpha \frac{x_i}{D})^2} \right)^2} \right) U \quad (4.3)$$

4.2. Tidal Farm economic evaluation model

In this paper, the Net Present Value (NPV) will be used to determine the economic profitability of a tidal current farm project, through its life span. NPV is the difference between the present value of cash inflows and the present value of cash outflows over a determined time. In this case, that time is the number of years K . The equation is as follows:

$$\sum_{k=1}^K \frac{R_k}{(1 + t)^k} \quad (4.4)$$

where R_k is the net cash inflow-outflows during a single period k and t is the discount rate that could be earned in alternative investment.

The construction and commissioning of a tidal current power plant project require an initial

investment, I_0 , which represents the capital expenditure. The net cash flow corresponds to the profit obtained from selling the produced electrical energy, S_e , minus the costs associated with operation and maintenance, C_{om} . Hence, NPV can be described as follows:

$$NPV = -I_0 + \sum_{k=1}^K \left(\frac{S_e - C_{om}}{(1+t)^k} \right) \quad (4.5)$$

The overall goal of this work is to increase the profitability of the project, which means maximizing the NPV. As a result, the objective function is defined as:

$$\textbf{Maximize:} \quad -I_0 + \sum_{k=1}^K \left(\frac{S_e - C_{om}}{(1+t)^k} \right) \quad (4.6)$$

The tidal current power plant consists of a turbine farm, which must be installed in different locations. All the possible positions are represented through nodes. Given a graph $G=(N,A)$, where N is the set of nodes and A is the set of arcs. Each arc contains information about its length, direction, and cost. Since the directions are different for arc (i,j) and arc (j,i) , the arcs are directed.

The power extraction PE corresponds to the total power that is harnessed from the tide flow by turbines. Being $i \in N'$ the extraction point, with N' a subset of nodes that does not include the source node, the power extraction is denoted as follows:

$$PE = \sum_{i=2}^N P_i y_i \quad (4.7)$$

where P_i is the power extraction in the node i , and y_i is a binary variable that indicates whether or not a turbine is installed at node i .

When several tidal turbines are installed. these devices will affect each other and reduce the power extraction due to wake losses . Wake losses, denoted as WL in this study, are directly related to the loss power Q . The value Q for multiple wakes is function of both tidal speed in

point (i) and downstream tidal speed and point (j).

$$Q_{ij} = \frac{1}{2} \rho C_p A_T (v_i - v_j)^3 \quad (4.8)$$

where ρ is mass density of the fluid, C_p is the power coefficient of tidal turbine, A_T is the turbine rotor area.

Therefore, WL is calculated by the following equation:

$$WL = \sum_{i=2}^N \sum_{i=2}^N Q_{ij} w_{ij} \quad (4.9)$$

where Q_{ij} denotes the wake losses that a turbine in node i causes on a turbine in node j , and w_{ij} is a binary variable that indicates whether or not a wake exist between turbine in node i and turbine in node j .

A key aspect is the loss of power that occurs by electrical transmission, denoted by TL . As this study considers the loss of power per meter of installed cable, D_{ij} is defined as distance between turbine y_i and turbine y_j .

Then, the relation can be stated as follows:

$$TL = \sum_{i=1}^N \sum_{i=1}^N D_{ij} x_{ij} L \quad (4.10)$$

where L is the loss of produced power per meter and x_{ij} is a binary variable that indicates whether or not a turbine i is connected to turbine j .

The net amount of enery generated corresponds to the difference between the power extraction PE and the losses by wake effects WL and transmission TL , multiplied by the number of hours of plant operation per year T . The net income for selling the produced electrical energy can be calculated from the net amount of energy and the energy sale price M_{MWh} , multiplied by the availability factor F_a .

Tabla 4.1: Notation for optimization model.

Name	Description	Type
N	Nodes	Set
A	Arcs	Set
N'	Nodes $\in N - \{1\}$	Set
A'	Arcs shorter than β rotor diameters	Set
x_{ij}	1, if an arc between node i and node j is active; 0 otherwise	Binary variable
y_i	1, if a turbine in node i is located; 0 otherwise	Binary variable
w_{ij}	1, if a Wake exists between node i and node j ; 0 otherwise	Binary variable
g_{ij}	Flow on the connection between nodes i and j . $i \in N', j \in N, i \neq j$	Variable
s	Number of cables; $s \in \mathbb{Z}_+$	Variable
P_i	Power extraction in node i	Parameter
Q_{ij}	Power loss by wake effect between node i and node j	Parameter
C_{ij}	Cost of electrical cable between node i and node j	Parameter
D_{ij}	Distance between node i and node j	Parameter
K	Project life span	Parameter
L	Transmission Loss	Parameter
t	Interest rate	Parameter
F_A	Availability factor	Parameter
M_{MWh}	Price of energy	Parameter
ΔM_{MWh}	Yearly increase of energy price	Parameter
C_{om}	Cost of Operation and Maintenance	Parameter
ΔC_{om}	Yearly increase of Cost of Operation and Maintenance	Parameter
E_T	Cost per unit of tidal turbine	Parameter
C_{eT}	Cost per unit of civil and electrical infrastructure	Parameter
C_{TE}	Cost incurred in transformers, exportation cables, and hub port	Parameter
B	Budget for Project	Parameter
H	Cable capacity	Parameter
T	Number of hours per year	Parameter

$$\begin{aligned}
 S_e &= (PE - WL - TL)M_{MWh}F_aT \\
 &= \left(\sum_{i=2}^N P_i y_i - \sum_{i=2}^N \sum_{i=2}^N Q_{ij} w_{ij} - \sum_{i=1}^N \sum_{i=1}^N D_{ij} x_{ij} L \right) M_{MWh} F_a T \quad (4.11)
 \end{aligned}$$

Regarding the initial investment, it can be divided in four components: investment in turbines, electrical cables, civil-electric infrastructure, and hub, transformer and exportation cables. Thus, E_T represents the unit cost per tidal turbine, C_{eT} is defined as the per-turbine cost of civil and electricity infrastructure, C_{TE} is the cost incurred for transformer, hub and other required offshore-onshore electrical connections, which represents the nominal per-turbine power in-

stalled. Finally, C_{ij} is the cable cost of connecting turbines y_i and y_j . Hence, the initial investment is described as:

$$I_0 = \sum_{i=1}^N \sum_{i=1}^N C_c D_{ij} x_{ij} + \sum_{i=2}^N (E_T + C_{eT} + C_{TE}) y_i \quad (4.12)$$

To calculate a realistic annual cash flows, the time evolution of price and cost must be considered. For this, ΔM_{MWh} is defined as the yearly increase of the wholesale price of energy produced and ΔC_{om} is the yearly increase in the costs of operation and maintenance.

Table 4.1 shows a summary of the notation for the optimization model presented in this paper.

The complete model to maximize the NPV for the tidal power project results:

Max:

$$\sum_{k=1}^K \frac{1}{(1+t)^k} \left(\left(\sum_{i=2}^N P_i y_i - \sum_{i=2}^N \sum_{j=2}^N Q_{ij} w_{ij} - \sum_{i=1}^N \sum_{j=1}^N D_{ij} x_{ij} L \right) M_{MWh} F_a T (1 + \Delta M_{MWh})^{k+1} \right. \\ \left. - \left(\sum_{i=2}^N C_{om} y_i (1 + \Delta C_{om})^{k+1} \right) \right) - \sum_{i=1}^N \sum_{j=1}^N C_c D_{ij} x_{ij} - \sum_{i=2}^N (E_T + C_{eT} + C_{TE} \times P_i) y_i \quad (4.13)$$

subject to:

Layout Constraints

$$\sum_{i=1}^N \sum_{j=1}^N C_c D_{ij} x_{ij} + \sum_{i=2}^N (E_T + C_{eT} + C_{TE} \times P_i) y_i \leq B \quad (4.14)$$

$$y_i + y_j - w_{ij} \leq 1, \forall i \in N', \forall j \in N', i \neq j \quad (4.15)$$

$$y_i \leq 1 - y_j, \forall i, j \in A', i \neq j \quad (4.16)$$

Cable Routing Constraints

$$\sum_{j=2}^N x_{1j} = s \quad (4.17)$$

$$\sum_{j=2}^N x_{ij} = y_i, \quad \forall i \in N' \quad (4.18)$$

$$\sum_{i=1}^N x_{ij} = y_j, \quad \forall j \in N', i \neq j \quad (4.19)$$

Sub-tour elimination Constraints

$$\sum_{j \in N} g_{ji} - \sum_{j \in N} g_{ij} = y_i, \quad \forall i \in N', i \neq j \quad (4.20)$$

$$g_{ij} \leq Hx_{ij}, \quad \forall i \in N' \quad (4.21)$$

Domain

$$x_{ij} \in \{0, 1\}, \quad \forall i, j \in N \quad (4.22)$$

$$y_i \in \{0, 1\}, \quad \forall i \in N' \quad (4.23)$$

$$g_{ij} \geq 0, \quad \forall i, j \in N \quad (4.24)$$

$$w_{ij} \in \{0, 1\}, \quad \forall i, j \in N' \quad (4.25)$$

$$s \in \mathbb{Z}_+ \quad (4.26)$$

The objective function (4.13) maximizes the economic profitability of the tidal power plant project. The following three constraints correspond to layout optimization constraints. Constraints (4.14) limit both the number of turbines and the number of cables through a maximum budget B . Constraints (4.15) say that if there is a turbine in node i and a turbine in node j , then there will be a wake between the two nodes. Constraints (4.16) say that the minimum distance between the turbines is β rotor diameter (βD), with A' as the subset of arcs shorter than βD . The following five correspond to cable routing constraints. Constraints (4.17) ensure that s number

of cables can be output from the node 1 (Hub). Constraints (4.18) and (4.19) determine that only one cable can enter and leave from a turbine. Constraints (4.20) and (4.21) prohibit disconnected cycles from the hub collector and are the well-known sub-tour elimination constraints (SECs) (Aguayo et al. (2018)). Finally, Constraints (4.22) - (4.26) define the domains of the decision variables.



Chapter 5. Case Study

In order to verify the feasibility of the proposed optimization model, a case study based on a idealized TCF on the Chacao Channel is analyzed. The Chacao Channel is located in Los Lagos Region, Chile. It separates Chiloé Island from mainland Chile by linking the Gulf of Coronados with the Gulf of Ancud. The channel has an east-west direction and its total length is approximately 25 km. Its width varies from 1.8 km in the Remolinos rock, to 4.5 km at its west entrance. Figure 5.1 shows the location of the channel in Chile.

5.1. Bathymetry and current speed

Both the bathymetry and current speeds of the Chacao Channel were obtained from the Danish Hydraulic Institute's MIKE 21 software, with the HD Flow Model tool. It simulates the water level variations and flows in lakes, estuaries and coastal areas. The bathymetry and current speeds of the channel are also shown in Figure 5.1. This flow model was calibrated and validated by Herrera Hernández (2010), simulating a tidal cycle over a 12-day time interval. The modeling parameters were modified to adjust the simulated and observed values, with a duration of 15 hours. The bathymetry of the flow model was compared to the Hydrographic and Oceanographic Service of the Chilean Navy's 7210 nautical chart, to check the realistic status of the results obtained. Similarly, the speeds obtained in the modeling were compared with the speeds measured at three strategic points of the channel. The results yielded a 5% difference between the two speeds. The speeds shown in the figure represent an average speed value for one year. These speeds have a sinusoidal behavior, which means that the tide does not reach such speeds all the time. Therefore, the speeds must be adjusted by a factor equivalent to $4/(3\pi)$, which corresponds to the integral of the sine function to the cube. Thus, current speeds for real scenarios are obtained

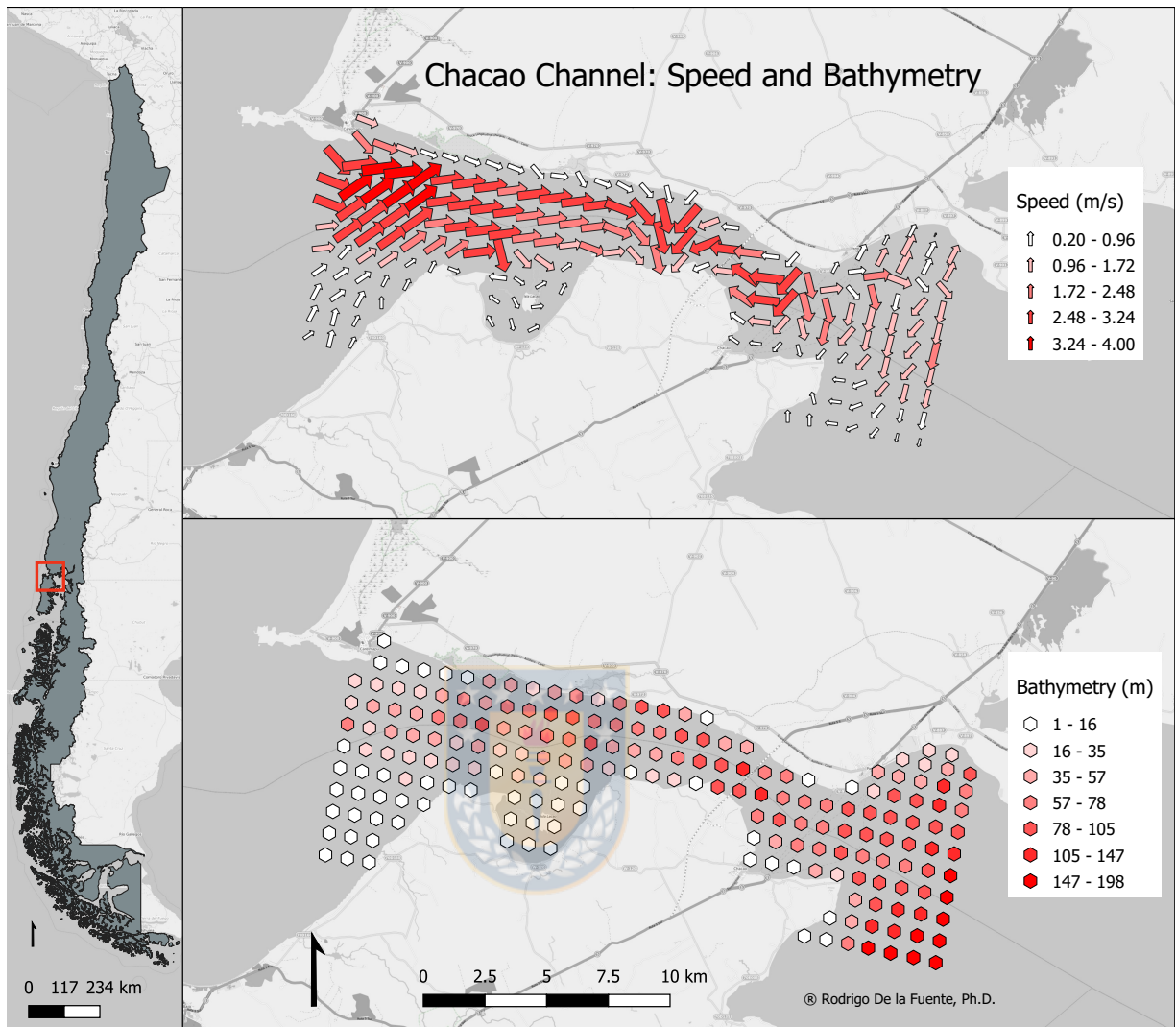


Figura 5.1: Chacao Channel: Location in Chile, Bathymetry and Speed current

5.2. Test cases

The Chacao Channel has zones that are not adequate to install a TCF. As can be seen in Figure 3, those zones are intended for a particular use. The navigation area corresponds to the routes that boats, ships, and cruise ships use to travel through the canal. The connecting area is the place where the fishing ports, jetties and ferries for the transfer of people and vehicles between the island of Chiloé and Chile Continental are located. Besides, in this area the transmission line of the Central Interconnected System (SIC) that supplies electricity to Chiloé is located. In

this area, the Chacao Bridge is being built, which will connect the island with the mainland in a terrestrial way. The channel has areas with depths less than 30 m, not suitable for the installation of a TCF since the turbines need at least a depth of 30 m to be installed.

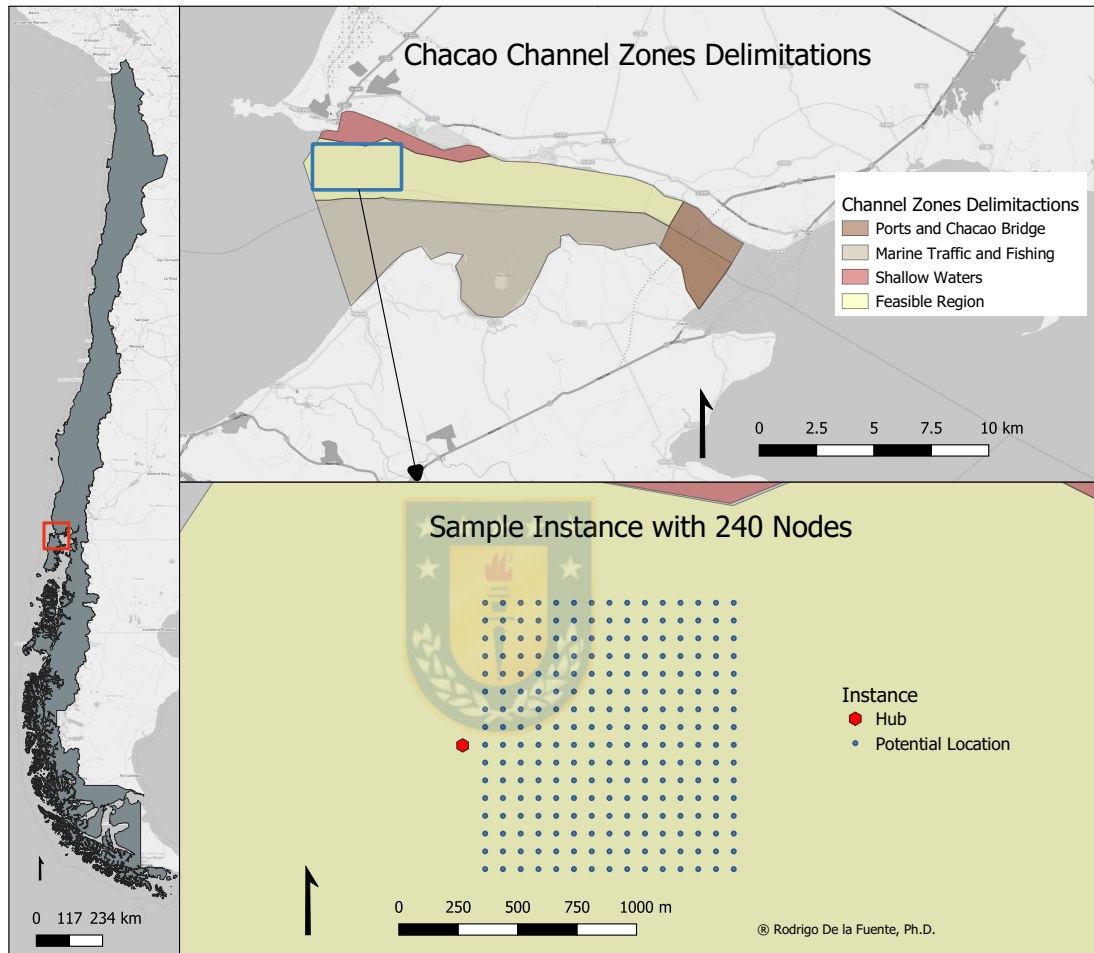


Figura 5.2: Zones in the Chacao Channel and set of cases

In order to determine where cases will be tested, two important aspects related to bathymetry characteristics and current speed should be considered. According to Guerra et al. (2017), these aspects are as follows:

- Sites with maximum current speeds over 3 m/s are considered appropriate for energy extraction, because the best devices are designed with rated speeds between 3 and 3.5 m/s.

- Sites with average depths between 30 and 60 meters are considered appropriate.

As such, the site for case testing was selected in the northwest part of the channel as shown in the same figure. This site is approximately 15 km from the SIC connection zone, ensuring non-interference between both power points.

For this work, the test cases are based on a rectangular array of candidate nodes with a hub collector for exportation to the electrical grid. The distance between nodes is 100 m, resulting in a 240 square array. For each node a speed and depth value is assigned, which will be used by the model to solve the problem. The connection direction is west-east in order to take advantage of current speeds, which are higher in this flow direction.

Table 5.1 presents the main technical and economical input data that will be used in test cases. The price of energy corresponds to December 2019, according to the Chilean government's national energy commission. The economic costs are based on the work of Segura et al. (2017) who proposed a cost structure to determine the feasibility of a tidal energy project. The value of the power transmission loss is given by Jayasinghe (2017) in the assessment of power losses on underwater cables that also applies to TCF.

Tabla 5.1: Main technical and economic input data.

Item	Description	Value	Reference
D	Turbine rotor diameter (m)	18	Segura et al. (2017)
H	Electrical power capacity of cables (kV)	6	Vartdal et al. (2018)
L	Loss of power transmission (MWh/m)	0.0001	Jayasinghe (2017)
E_T	Tidal Turbine Cost (MUSS/unit)	3.5*	Segura et al. (2017)
C_c	Electrical cable Cost (kUSS/unit)	1.52*	Segura et al. (2017)
C_{eT}	Civil and electricity infrastructure Cost (MUSS/turbine)	1.7*	Segura et al. (2017)
C_{om}	Operations and maintenance Cost (kUSS/turbine)	0.13	Segura et al. (2017)
M_{MWh}	Energy prices in Chile (USS/MWh)	45	CNE, Chile (2019)
i	Interest rate (%)	6	Segura et al. (2017)
ΔM_{MWh}	Yearly increase of energy price (%)	3	Segura et al. (2017)
ΔC_{om}	Yearly increase of operation and maintenance costs (%)	1.5	Segura et al. (2017)
F_a	Availability factor (%)	92	Segura et al. (2017)
K	Life span (years)	20	Segura et al. (2017)
C_{TE}	Cost of transformer, exportation cables and hub port (MUSS/MW)	0.54*	Segura et al. (2017)
T	Number of hours per year	8760	Segura et al. (2017)

*: Installation cost considered

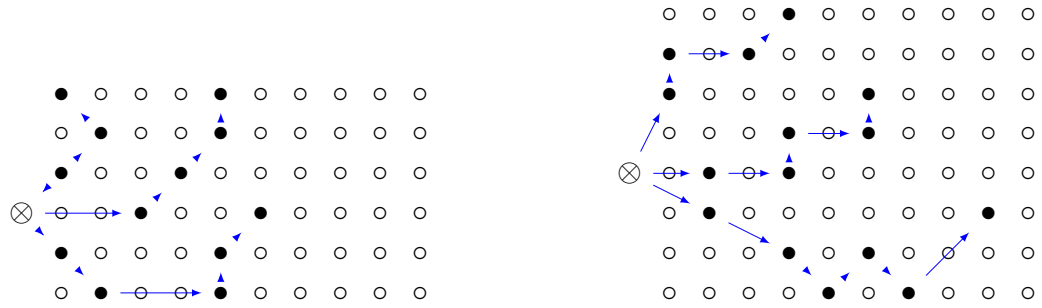
Chapter 6. Computational Results

The cases were run using IBM ILOG CPLEX Optimization Studio 12.8.0. on a server computer with Intel Core i7-5500U 2.4 GHz and 8 GB de RAM running the 64-bit version of Microsoft Windows 10 home. CPLEX uses a branch-and-cut heuristic algorithm to solve MIP models. This algorithm is considered one of the most efficient methods to solve MIP problems. The branch-and-cut is an exact search algorithm to analyze a search tree of nodes, where all the branches are the full set of solutions. The algorithm explores branches of this tree, checking against upper and lower bounds on the optimal solution. If it cannot produce a better solution than the best one found so far by the algorithm, the branch's candidate solution is discarded. Then, the branch-and-cut algorithm consists basically of performing branches and applying cuts at nodes of the tree.

Tabla 6.1: Main economic results of the proposed model

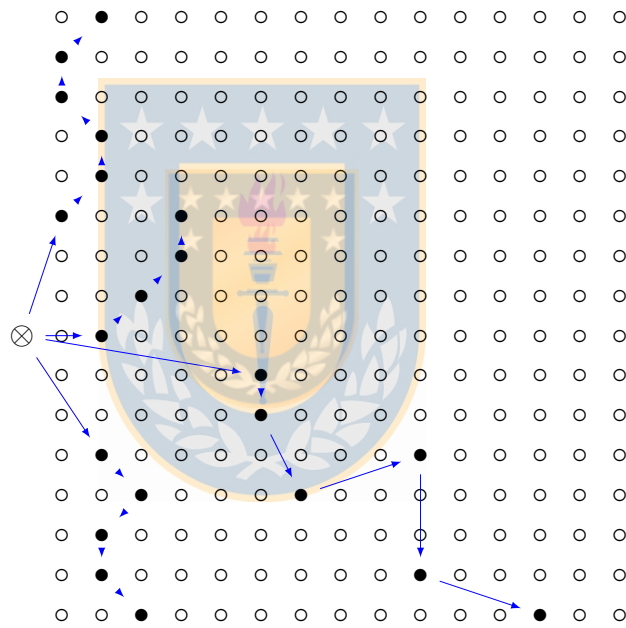
Cases	Nodes	Budget (MUS\$)	Cables	Turbines	TCF capacity (MW)	Investment Cost(MUS\$)	NPV (MUS\$)	Gap (%)	Time (s)
1	13	30	1	4	8.21	25.70	10.559	< 2	0.25
2	21	40	1	6	12.52	38.816	15.297	< 2	0.59
3	31	50	2	7	14.83	45.581	19.562	< 2	1.57
4	41	70	3	10	22.47	66.091	28.357	< 2	4.25
5	61	90	3	12	28.28	79.707	41.106	< 2	3.519
6	81	100	3	15	35.62	99.996	53.900	< 2	1.414
7	101	100	3	14	35.56	94.776	67.956	< 2	5.152
8	121	120	3	17	48.48	118.152	95.723	9.81	10,800
9	181	120	3	17	48.46	117.837	109.533	5.77	10,800
10	241	150	4	21	60.45	146.608	139.835	8.03	10,800

Previously, the value of β was determined for the minimum distance between turbines. To this, the criterion proposed by Galloway et al. (2014) who investigated the effects of the wake on distances between tidal turbines. They determined a value equal to 10, which means that the minimum distance between tidal turbines is 10 rotor diameters.



(a) Case 5

(b) Case 6



(c) Case 10

⊗ : Hub Collector, ● : Turbines Installed
 ○ : Unused Nodes, — : Electrical cables

Figura 6.1: Results of TCF layout and cable routing

The main economic results and performance of the model are shown in Table 6.1. The first column three columns denote the case number, number of nodes, and budget, respectively. Columns 4-10 indicate the results of the proposed model. Columns 4-6 represent the number of cables,

turbines, and capacity of the TCF resulting from the model. Columns 7 and 8 display the initial investment cost, and NPV value computed over the 20 years lifespan of the TCF, which is the final value of the objective function. The last two columns contain Gap information and CPU time in seconds. The term Gap refers to the difference between the objective function at the end of the CPU time and the upper bound value of a relaxed solution. The results indicate that that NPV is positive in all cases, which suggests investors can expect to obtain positive returns on their investment. In case 10, a capacity of 60.45 MW can be installed with an NPV equal to 139.835 MUS\$. Regarding the gap, the maximum gap value obtained is 9.81, which is acceptable for these real-world optimization problems using off-the-shelf solvers.

Next, Figure 6.1 shows the results obtained when applying the proposed model to cases 5.6 and 10. The model determines the location of the turbines considering the amount of power that can be extracted from each node. With the node already chosen, we proceed to verify the losses in the wake that arise if a turbine is installed on a candidate node that is close to the one already chosen. The value *IOD* helps the turbines be separated at a distance such that it counteracts the effects of the wake on energy production.

Once the turbines have been located, the process of routing turbine cables to each other, and with the Hub port, is started. The model establishes the number of cables needed to connect the turbines, so as not to increase costs. Each turbine receives only one cable, while only it can connect to another single turbine. In contrast, the Hub can connect to more than one turbine at a time. It is clear that the model also determines the direction of the connection, which starts at the Hub and ends with the last turbine that can be connected, depending on the capacity of the cable.

Chapter 7. Discussion

7.1. Parameter sensitivity

A sensitivity analysis has been applied to four parameters: the energy sales price, the opportunity cost, the current speed and the budget. The goal of this analysis is to find out as the optimal solution is affected by possible variations that may exist in the input parameters of the method. For the sake of brevity, case 6 was used for the analysis since it has the same behavior in others cases.

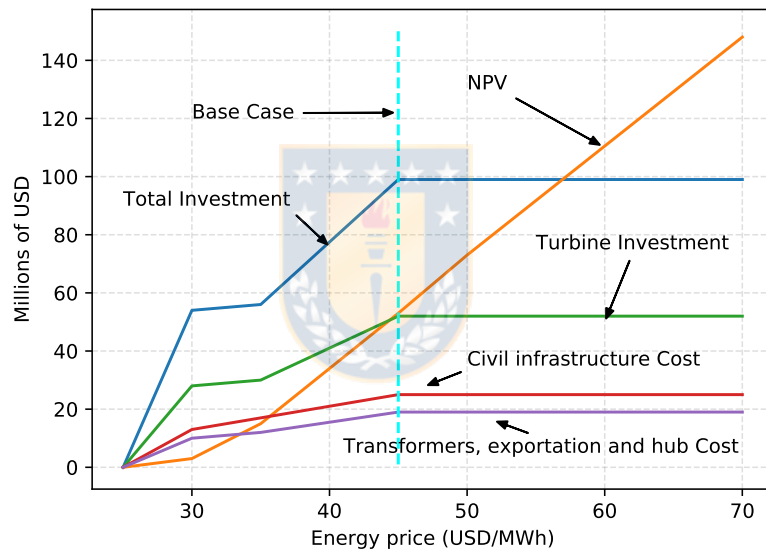


Figura 7.1: Sensitivity analysis of energy price variation

7.1.1 Sensitivity to the energy price

In this experiment, a variation of the energy price between 25 US\$/MWh and 70 US\$/MWh is evaluated. Figure 7.1 shows how the evolution of the main economic elements, measured in MUS\$, as a function of the price. It may be noticed that an increment in the price of energy increases the profitability of the project, showing an abrupt increment to the right of the base case. This is expected given that the available budget is already allocated; thus, no change in

investment is required. Conversely, when the energy price is below 45 US\$/MWh, the project not only shows a reduction in total investment, but also in NPV. This is mainly because it becomes less attractive to install more turbines when the energy price is low, pushing down the amount of energy harvested, which in turn decreases the NPV. Conversely, the project becomes unattractive when energy prices are below 25 US\$/MWh.

7.1.2 Sensitivity to opportunity cost

Now, regarding the discount factor, the range 1%-12% is tested to better understand its effect. Figure 7.2 shows that when the opportunity cost is below 6%, keeping all other parameters fixed, only the NPV increases as a result of a lower rate. However, as expected, the higher the interest the less attractive the project becomes. Again the investment costs change abruptly because of the reduction in the number of installed turbines, and the NPV shows a quadratic decay. Finally, when the opportunity cost is higher than 12% the project reaches its internal rate of return (IRR). As in this model the number of installed turbines is a variable, it makes sense that when the IIR is reached the total investment becomes zero.

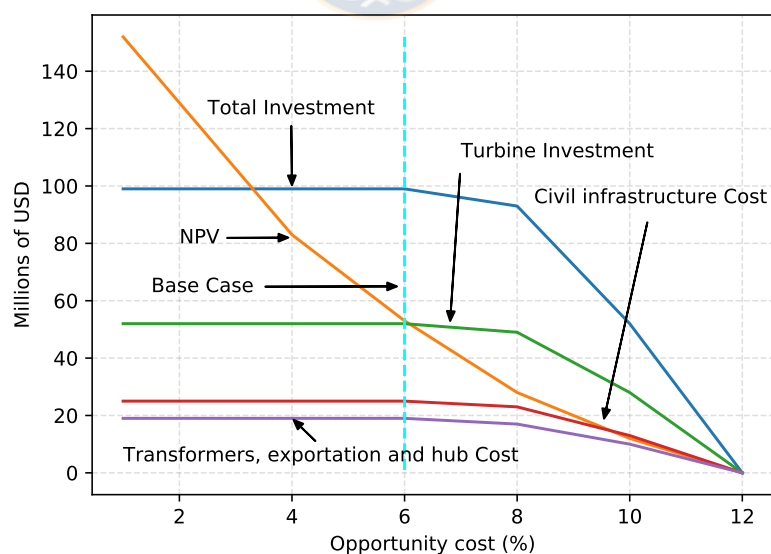


Figura 7.2: Sensitivity analysis of opportunity cost

7.1.3 Sensitivity to current speed

As shown in Figure 5.1, the evaluated zone has current speeds ranging from 2.4 to 4.0 m/s. The speed value is tested in the range 1.5 to 5 m/s. Figure 7.3 shows that when the speed increases above the base case, so does the NPV. However, this result is highly dependent on the turbine capacity. Moreover, as expected, the total cost remains constant but there is trade-off between its component costs. Conversely, when the current speed is reduced the project becomes less attractive, being around a NPV of 20 millions of USD with speeds of 2.5 m/s, and reaching zero NPV at 1.5 m/s.

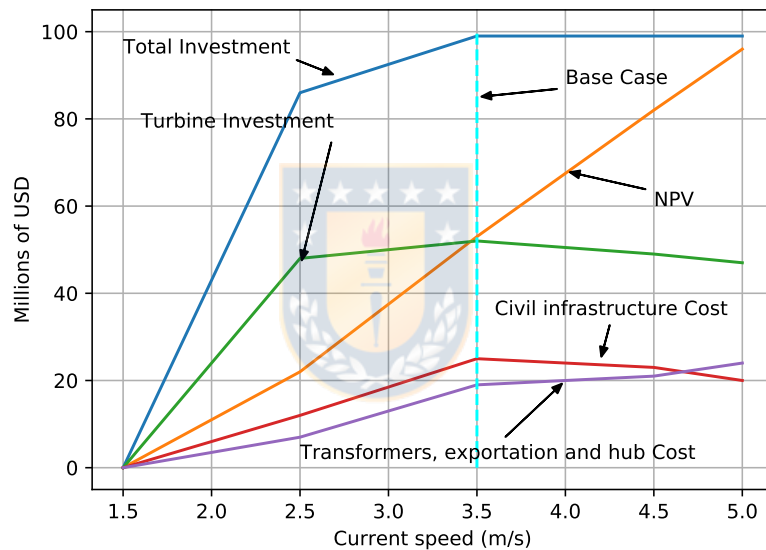


Figura 7.3: Sensitivity analysis of current speed variation

7.1.4 Sensitivity to Limited Budget

Now, something important to study is the effect that the budget in our results. In the model, Constraint (4.14) plays a crucial role since it limits the amount of money allocated to the project. However, Figure 7.4 indicates that for case 6, when the budget is above 120 million USD, it is no longer binding, and the NPV value remains constant. Furthermore, there is no significant increment of neither the investments nor the NPV, which indicates that the other parameters have more impact on the NPV. Conversely, when the budget is reduced, all measurements drop

with less intensity when compared to the other parameters. Thus, a reduction of 60% in budget produces a reduction of around 50% in NPV.

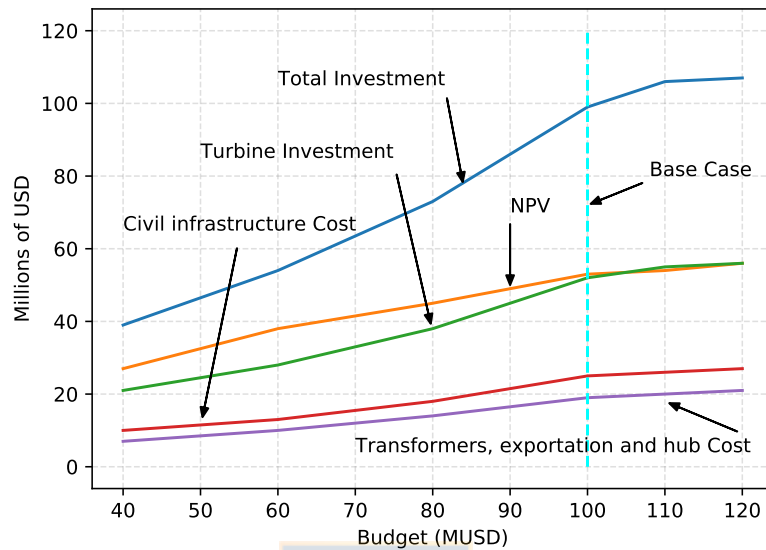
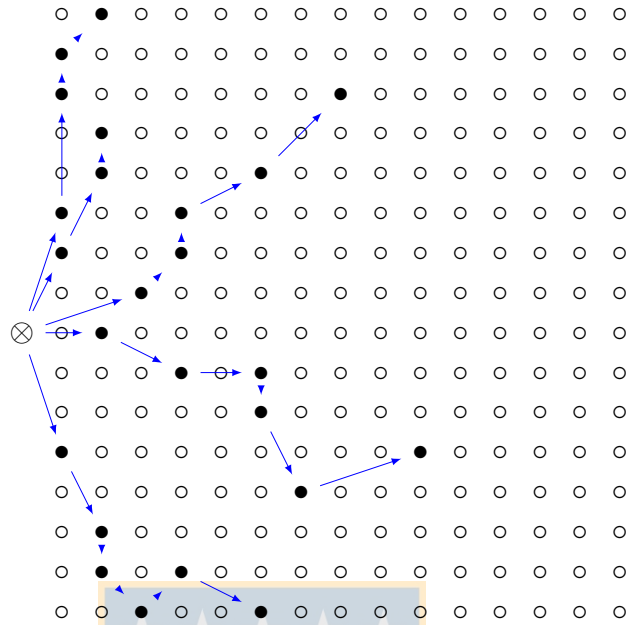
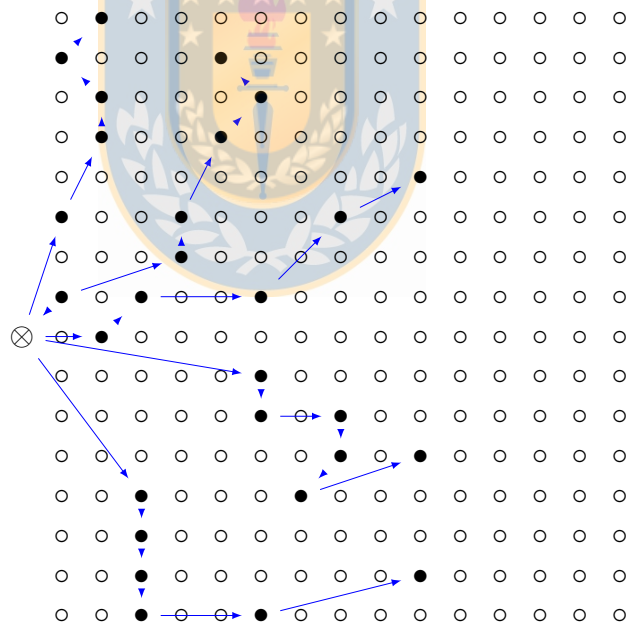


Figura 7.4: Sensitivity analysis of limited budget

Additionally, it was investigated how the TCF design is affected when the budget is increased in case 10 to 170 and 200 MUS\$. Figure 7.5 depicts both TCFs layouts, where it can be observed they have a similar structure, and the TCTs are placed in such a way that the wake effect is reduced. Finally, the problems become more difficult to solve as the budget increases. The gap value remains in an acceptable level (under 14%) considering the large-sized of case 10, but this gap could be reduced if more time is allocated to run the model.



(a) Case 10 with B=170 and 24 turbines.



(b) Case 10 with B=200 and 28 turbines.

⊗ : Hub Collector, ● : Turbines Installed
 ○ : Unused Nodes, — : Electrical cables

Figura 7.5: Cases to increase the budget

7.2. Comparison with literature

The methodology presented in this work has been applied through the case study presented above, to verify its performance. The results obtained make it possible to establish that the proposed optimization model not only solves the problem raised but also has advantages compared to what is stated in the literature. Among the observations made to the routing models, it is noted that there are both limitations by the size of the problem (Fagerfjall (2010), Pillai et al. (2015), Fischetti and Pisinger (2018b) as for the consideration of energy losses (Srikalapu and U (2017)). This model can solve problems with cases greater than 200 nodes in times that are considered acceptable. It is true that runtime of 10.800 seconds, in the case 10, can be considered a long time to get results. However, it is necessary to say that the model is only run once, unlike other models that must be run several times depending on the problem they solve. In this case, the feasibility assessment is performed at the start of the project, not having to run the programmed model a second time.

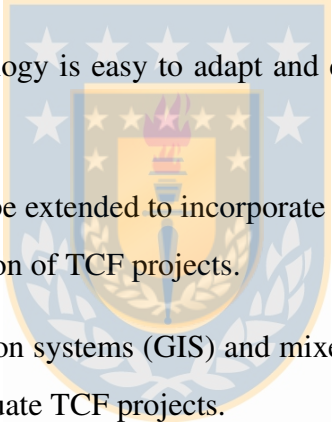
This methodology stands out for the resolution of layout optimization and cable routing problem in the same model. This means a more practical procedure compared to other OWF models. The papers related to cable routing problem solve cases composed of pre-designed. In most cases, cable routing is done over existing plant layouts, while other works use computer-simulated layouts. In this work, the proposed model generates a layout from the input data, and at the same time solves cable routing. The layout delivered by the model is considered feasible, as it is generated from actual data of the speed and bathymetry of the Chacao Canal, in addition to the calculation of the power generated and the losses by the effect of wake and transmission in electrical lines. Moreover, authors such as Herrera Hernández (2010) and Galloway et al. (2014), have proposed TCF with layouts similar to the design obtained by the MIP.

About layout optimization for TCF presented in the literature papers, this model performs a better way. The methodology used in this work is based on an optimization model solved by an

exact algorithm, which guarantees an optimal solution. Although the use of algorithms determines the robustness of the procedure, it does not guarantee optimal solutions due to its iterative process, along with the two-phase resolution that the algorithm needs to be executed. This adds to the model presented by both Funke et al. (2013) as by Culley et al. (2016) is of a nonlinear type, which further complicates the search for optimal solutions. This work performs well when solving large cases (greater than 100 nodes) while the algorithm proposed by *OpentidalFarm* can only solve a limited number of nodes,

7.3. Insights

The main insights obtained from the results mentioned above are:

- 
- The proposed methodology is easy to adapt and can be implemented using commercial MIP software.
 - This methodology can be extended to incorporate other features and opens new possibilities for the fast evaluation of TCF projects.
 - Geographical information systems (GIS) and mixed-integer programming models can be used to design and evaluate TCF projects.
 - An investment of 146.608 MUS\$ in a TCF of 60.45 MW would generate 219 GWh of energy annually, sufficient to supply power to the entire population of Chiloe island in Chile.
 - Current speeds higher than 2.5 m/s are recommended for an economically viable TCF project.
 - Small changes on the budget do not have a significant impact on the NPV of a TCF project.
 - In Chile, a minimum of 40 US\$/MWh price is advised to consider a TCF project profitable. Even though an interval of 30-40 US\$/MWh still produces a positive NPV, it could be too risky from abrupt changes in the model parameters.

- With an opportunity cost higher than 9%, the TCF project becomes less attractive. Besides, a discount rate of less than 6% seems advisable to ensure good returns of the TCF project.

Finally, this study is limited by the wake model that has been used. The Jensen's wake model is a realistic way to observe the behavior of the wake. However, it does not consider the direct effects of fluid viscosity, as well as variations in turbulence and wake regimens. Moreover, this work only considers the effect of wake strictly in the downstream direction. Another limitation of this work is that current speeds were measured in sections of 50-100 meters, which means lower accuracy in the analysis of losses. But the low measurement accuracy does not change the behavior of the results, which are according to those proposed by the technical literature.



Chapter 8. Conclusions

The importance of an optimal configuration of a TCF project has been discussed and a methodology for the overall design and evaluation of a TCF has been introduced. This model allows obtaining both the layout of turbines optimally and the best array of the electrical connection between the devices. For this work, Jensen's wake effect Model was used to determine the behavior of the wake and its influence on the turbine location. The proposed model performs an economic evaluation of the project considering a useful life of 20 years, thus determining the profitability of a TCF. This model was solved by an accurate algorithm method, in acceptable computational times.

A case study was considered to determine the feasibility of the model. This consisted of a TCF ideally located in the Chacao Canal, Chile. Cases were solved to determine the effectiveness of the model, based on the size of the TCF. Besides, the behavior of the optimal solution is compiled according to the variation of some important parameters.

For future work, it is recommended to explore the use of new methodologies that study the effects of wake. More accurate consideration of certain physical characteristics of tides, such as viscosity or turbulence regimes, will result in more complete and realistic results. The new approaches will allow the study of new possibilities to implement projects of this kind, which help the environment and improve the quality of life of people.

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